



U.S. Department of Education  
1998 Electronic Access Conferences



# **Managing Change**

## **Part Two:**

### **Title IV**

# **Single Identifier Initiative**



## Another SFAP Reinvention Initiative

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**SINGLE  
UN  
INITIATIVE**

U.S. Department of Education

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Washington, D.C. 20202.5132



## Authority for the Title IV Single Identifier Initiative - Section 487b, Higher Education Act

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The Secretary shall assign to each participant in the title IV programs, including institutions, lenders, servicers, and guaranty agencies, a single Department of Education identification number to be used to identify its participation in the title IV programs.



# SII Focus Group: University Representation

- California State University System
- Catholic University of America
- George Mason University
- Georgetown University
- Harvard University
- Johns Hopkins University
- Leland Stanford University
- New York University
- University of Colorado



# SII Focus Group: SFA Community Representation

- **American Association of Collegiate Registrars and Admission Officers (AACRAO)**
- **National Association of College and University Business Officers (NACUBO)**
- **National Association of Student Financial Aid Administrators (NASFAA)**
- **National Council of Higher Education Loan Programs (NCHELP)**
- **Student Loan Marketing Association (SALLIE MAE)**
- **Student Loan Servicing Alliance (SLSA)**



## SII Focus Group: Federal Agency Representation

- Office of Management and Budget
- Department of the Treasury
- Department of Commerce
- Bureau of the Census
- ED's Chief Information Officer
- ED's Chief Finance Officer
- National Center for Educational Statistics
- Office of Student Financial Assistance



## Focus Group Recommendations

### ***Phase One - OPE-ID***

All program identifiers (active & inactive) and all electronic data exchange identifiers to be verified by participating institutions and housed in a matrix pointing to an OPE - ID.

*Completion date:*

*December 1, 1998*





# Focus Group Recommendations

## ***Phase Two - D-U-N-S***

President Clinton's Executive Order mandates the use of the Data Universal Numbering System by all Federal agencies.

*Target completion date:*

*January 1, 2001*



# Current Identifier Structure

## Leland Stanford University

	OPE-ID	EDE
Main Campus	00130500	001305
Medical School	00130501	G24552
Business School	00130502	G22249
Law School	00130503	E00341
Pell Grants	001305, 001306*, 001307*	
Campus-Based	000619, 001240*	
Direct Loans	G01305, G24552, G22249	
Guaranteed Loans	001305, 024552*, 022249*, 024567*	

\* *inactive identifiers*



## Numbering Schemes Studied

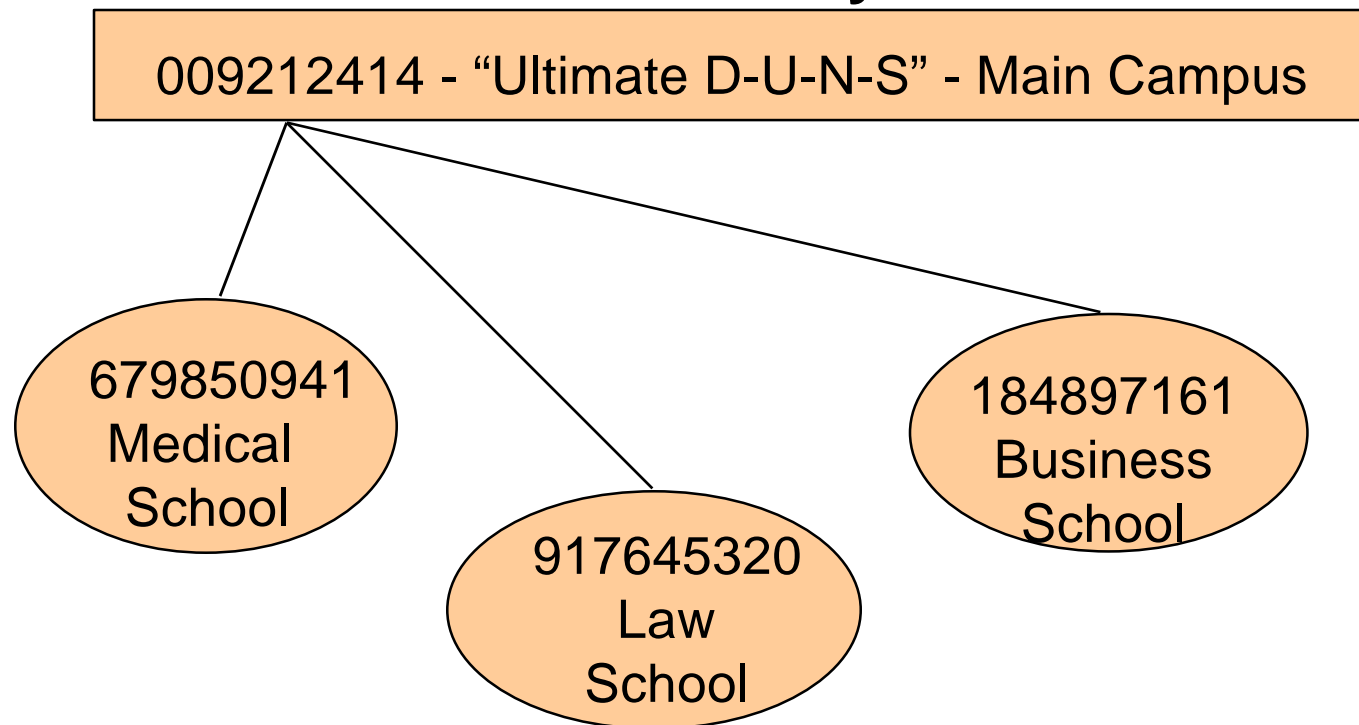
- OPE - ID [8 digit numeric with positions 7 & 8 “smart”]
- Tax Identification Number [9 digit numeric]
- Data Universal Numbering System [9 digit numeric]



# D - U - N - S Configuration

## Leland Stanford University

### “D-U-N-S Family Tree”





# D - U - N - S Configuration

## Leland Stanford University

<b>Main Campus</b>	009212414*
Pell Grants	009212414
Campus-Based	009212414
Direct Loans	009212414
Guaranteed Loans	009212414

<b>Medical School</b>	679850941*
Direct Loans	679850941
Guaranteed Loans	679850941

\* *subsumes EDE identifiers*



# D - U - N - S Configuration

## Leland Stanford University

<b>Business School</b>	184897161*
Pell Grants	184897161
Direct Loans	184897161
Guaranteed Loans	184897161
 <b>Law School</b>	 917645320*
Pell Grants	917645320
Direct Loans	917645320
Guaranteed Loans	917645320

*\* subsumes EDE identifiers*



## D-U-N-S & Electronic Commerce

- All participating institutions are to use their D-U-N-S identifier as the sole identifier in all transactions with any of the twenty-nine Federal agencies or departments.
- Within Education, the D-U-N-S is the identifier to use with Finance's EDCAPS, with the student aid programs, for need analysis purposes, and for gatekeeping activities.



## Phase Two Timeline - 1999

JAN	FEB	MAR	APR
MAY	JUN	JULY	AUG
SEPT	OCT	NOV	DEC

**JAN**

**Dun & Bradstreet provides identifiers for non-main campus and administrative sites, foreign schools, third party servicers, lenders and lender portfolios**

**APR**

**SII/PEPS data extracts to Guarantee Agencies and servicers for program identifier discrepancy resolution**

**JULY**

**Institutions notified of their D-U-N-S identifiers**

**DEC**

**Negotiations among all SFA data systems contractors completed; D-U-N-S development initiated**





# Phase Two Timeline - 2000

JAN	FEB	MAR	APR
MAY	JUN	JUL	AUG
SEPT	OCT	NOV	DEC

**APR**

**FAFSA enters OMB forms clearance with D-U-N-S**

**JUN**

**2001-2002 Title IV School Code Book uses D-U-N-S  
Campus-Based FISAP includes D-U-N-S**

**AUG**

**Concordance extract of D-U-N-S identifiers to SFA data  
systems, servicers, lenders, and guarantors**

**OCT**

**Renewal FAFSA applications printed using  
D-U-N-S**

**NOV**

**Lenders, Servicers, Guaranty Agencies initiate  
use of D-U-N-S**



# Phase Two Timeline - 2001

JAN	FEB	MAR	APR
MAY	JUN	2001 JUL	AUG
SEPT	OCT	NOV	DEC

**JAN**

**All FAFSA Reports printed with D-U-N-S  
Debt Collections initiates using the D-U-N-S  
Pell Grants, Direct Loan Origination  
Processing initiate using the D-U-N-S**

**JUL**

**2001 - 2002 Award Year begins**



# Single Identifier Initiative Benefits

## **Schools**

- Single Identifier across programs
- Streamlines communications with ED

## **SFA Community**

- Electronic interchange of information
- Enhances customer services



# Single Identifier Initiative Benefits

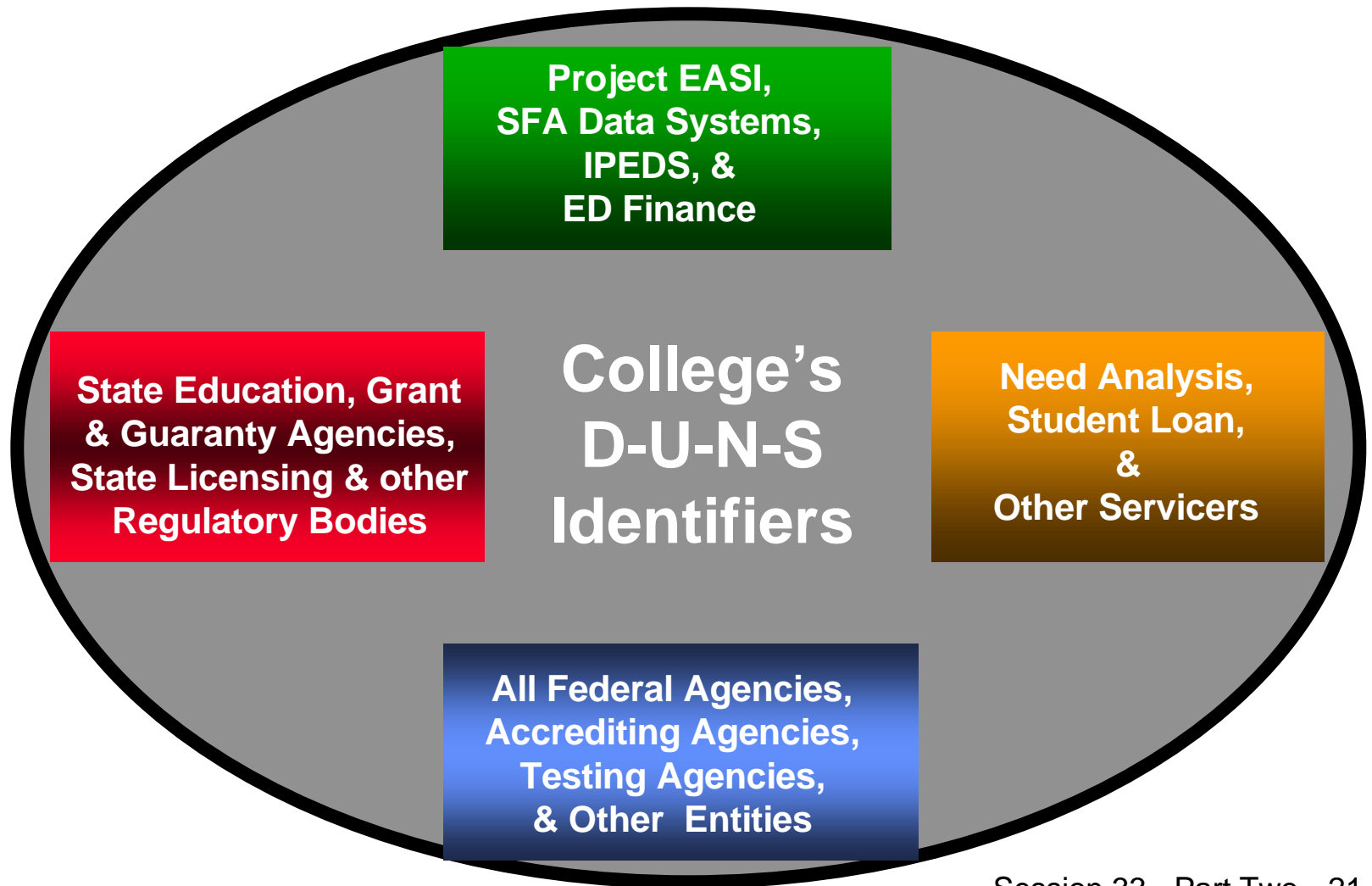
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## **U.S. Dept of Education**

- Consistency across systems - speeds up our access to data
- Share data electronically among internal systems
- Enhanced customer service (OMB, CBO, etc.,)



# Need Analysis and Award Year 2001 - 2002





## SII Related Web Sites

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**Info about D-U-N-S and the Dun & Bradstreet Information Corporation -**



***<http://www.dnb.com>***

**Info about the Postsecondary Electronics Standards Council -**



***<http://www.standardscouncil.org>***



## SII Communications Avenues

➡ <http://www.sii.ed.gov>

➡ email - [title4sii@ed.gov](mailto:title4sii@ed.gov)

➡ questions? 202.708.4608



# Need Analysis and Award Year 2001 - 2002

